SEC Form 3 FORM 3

# UNITED STATES SECURITIES AND EXCHANGE

**COMMISSION** Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0104

## INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Estimated average burden hours per 0.5 response:

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person <sup>*</sup> <u>Mullican Michael P.</u>	2. Date of Requiring (Month/Da 10/02/20	Statement y/Year)	3. Issuer Name <b>and</b> Ticker or Trading Symbol <u>Academy Sports &amp; Outdoors, Inc.</u> [ ASO ]				
(Last) (First) (Middle) C/O ACADEMY SPORTS AND OUTDOORS, INC.			4. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner		F	5. If Amendment, Date of Original Filed (Month/Day/Year) 6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person	
1800 NORTH MASON ROAD			X Officer (give title below)	Other (specify below)			
(Street) KATY TX 77449			LVF&C			Form filed by More than One Reporting Person	
(City) (State) (Zip)	_						
Т	able I - Noi	n-Derivati	ive Securities Benefic	cially Ov	vned		
1. Title of Security (Instr. 4)			2. Amount of Securities Beneficially Owned (Instr. 4)	3. Owne Form: D (D) or Ir (I) (Instr	Direct Ownership (Instr. 5) ndirect		
Common Stock			246,784 <sup>(1)</sup>	E	)		
(e.ç			e Securities Beneficia nts, options, convert				
1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)		4. Conversio or Exercis	se Form:	6. Nature of Indirect Beneficial Ownership (Instr.
	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Price of Derivative Security	Direct (D) or Indirect (I) (Instr. 5)	5)
Stock Options (Right to Buy)	(2)	03/23/2027	Common Stock	31,277	16.7	D	
Stock Options (Right to Buy)	(3)	03/23/2027	Common Stock	15,638	16.7	D	
Stock Options (Right to Buy)	(4)	04/05/2028	Common Stock	40,896	16.48	D	
Stock Options (Right to Buy)	(5)	04/05/2028	Common Stock	20,448	16.48	D	
Stock Options (Right to Buy)	(6)	03/07/2029	Common Stock	44,082	16.57	D	
Stock Options (Right to Buy)	(7)	03/07/2029	Common Stock	21,712	16.57	D	
Stock Options (Right to Buy)	(8)	03/05/2030	Common Stock	49,757	17.3	D	

#### Explanation of Responses:

1. Reflects: (a) 229,454 time-based restricted stock units, and (b) 17,330 performance-based restricted stock units that vest if certain performance criteria and/or Issuer stock price conditions are met.

2. These time-based options vest in four equal annual installments beginning on March 23, 2018.

3. These performance-based options vest as follows: (a) 6,626 have vested, (b) 2,209 shall vest on February 3, 2021, and (c) the remaining portion shall vest in full on February 2, 2021 if certain Issuer stock price conditions are met on such date as determined by the Issuer.

4. These time-based options vest in four equal annual installments beginning on April 5, 2019.

5. These performance-based options shall vest in full on February 2, 2022 if certain stock price conditions are met on such date as determined by the Issuer.

6. These time-based options vest in four equal annual installments beginning on March 7, 2020.

7. These performance-based options vest as follows: (a) 5,427 have vested, and (b) the remaining portion shall vest in three equal annual installments beginning on February 1, 2021.

8. These time-based options vest in four equal annual installments beginning on March 5, 2021.

### **Remarks:**

Exhibit List: Exhibit 24 - Power of Attorney.

<u>/s/ Rene G. Casares, Attorney-in-Fact</u>

10/02/2020

\*\* Signature of Reporting

Date

#### Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

Know all by these presents that the undersigned, does hereby make, constitute and appoint each of Rene Casares, Sarah Green and Janet Morrow, or any one of them, as a true and lawful attorney-in-fact of the undersigned with full powers of substitution and revocation, for and in the name, place and stead of the undersigned (in the undersigned's individual capacity), to execute and deliver such forms that the undersigned may be required to file with the U.S. Securities and Exchange Commission as a result of the undersigned's ownership of or transactions in securities of Academy Sports and Outdoors, Inc. (the "Company") (i) pursuant to Section 16(a) of the Securities Exchange Act of 1934, as amended, including without limitation, statements on Form 3, Form 4 and Form 5 (including any amendments thereto) and (ii) in connection with any applications for EDGAR access codes or any other documents necessary or appropriate to obtain codes and passwords enabling the undersigned to make electronic filings with the Securities and Exchange Commission, including without limitation the Form ID. The Power of Attorney shall remain in full force and effect until the undersigned is no longer required to file Forms 3, 4 and 5 with regard to his or her ownership of or transactions in securities of the Company, unless earlier revoked in writing. The undersigned acknowledges that Rene Casares, Sarah Green and Janet Morrow are not assuming any of the undersigned's responsibilities to comply with Section 16 of the Securities Exchange Act of 1934, as amended.

> By: /s/ Michael P. Mullican Name: Michael P. Mullican

Date: September 16, 2020